

Example audit of an institutional repository

Institution: Stellenbosch University

Repository Manager : The Dude

Section	Rating	Comments
Policy	2	No public published OA policy or DP plan. There is a mandate for submission of ETD's
Preservation	4	Digital object formats are being monitored. Digital objects have unique identifiers. Good use of persistent URL's. Metadata quality is being addressed.
Sustainability	4	Permanent repository manager and librarian appointed with support of web 2.0 hardware and software technologists. Service level agreement in place with central IT. Disaster recovery procedures in place.
Visibility	5	Repository registered with major directories. Repository is configured for Google Scholar. Ranked 141 in July 2012.
Security	4	Disaster recovery in place. Server is firewalled and user logins use a secure connection which is verified by Verisign.
Ingest	5	Research metadata captured for the past 10yrs. Biomed automatic deposit initiated.
Customisation	4	Themed for institutional style. Being a research outputs only repository, collection structure excellent.
Digitisation	3	Items are digitised on request only. No active digitisation programme.
Promotion	5	Wiki and blogs in place. Good social presence. Excellent help.
Legal	3	Embargo facility activated. No item deposit policy yet. Copyright clearance on demand only.
Community	2	No resource contribution to any communities, only a public help wiki.
Total points	41	
Rating notes	Each section is rated from 1 to 5. 5 is the top rating. Therefore maximum points achievable = 5 x 11 = 55	
RATING	75	percent

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